

LOCAL AUTHORITY (LA) FUNDING FOR ACADEMIES 2013-14

Updated: 27 March 2013

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Section 1 - High Needs SEN Funding – Place Plus

Introduction

- 1.1 The purpose of this section is to explain the changes to High Needs SEN funding for Academies following the School Funding Reforms from April 2013. From this date, there is a new method of funding High Needs SEN pupils known as Place Plus. From the outset it is important to note that there is distinction in the method of funding for High Needs SEN pupils in Mainstream and High Needs SEN pupils in a Specialist Resource Provision (SRP).

Academies - High Needs SEN Pupil Eligibility Criteria

- 1.2 Local Authorities (LAs) are responsible for the funding of all High Needs SEN pupils in both LA Maintained Schools and Academies. To qualify as a High Needs SEN pupil in Kent a pupil must meet one of the two following criteria:
- a) **SRP pupils** If the school has a SRP the pupil must have the school SRP named on the pupil's statement
 - b) **Non SRP pupils** Pupils must firstly have a statement and secondly meet a further criteria determined by a panel of Head Teachers. Rule of thumb to qualify is that an eligible pupil will have a statement of 25 hours or more

Academies that have converted prior to 1st April 2013

- 1.3 Academies that have converted before the 1st April 2013 will be funded under Kent's funding method for High Needs SEN pupils in 2012-13 known as Individually Assigned Resources (IAR) for the period April to August 2013. The move across to the new Place Plus funding methodology will be from September 2013.

April 2013 to August 2013

- 1.4 High Needs SEN funding for the period April 2013 to August 2013, will be based on the method of allocation of the LA for financial year April 2012 to March 2013. Confirmation of this policy can be accessed by clicking on this link: [Policy DfE Academies Team Annex A paragraph 4 and 7.](#)
- 1.5 Academies that have pupils meeting the eligibility criteria in 4a and 4b above will receive notification of their High Needs SEN budget for the period April to August 2013 from the School and PVI Budget Team. Due to the sensitive nature of pupil data, individual pupil details will not be included with this initial notification; those Academies requiring this information should email Leah Ford (Senior Accounting Technician) leah.ford@kent.gov.uk.
- 1.6 [Appendix 1](#) provides details on the criteria for High Needs SEN funding for 2012-13 that will be applied to the period April 2013 to August 2013.

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- 1.7 Funding for High Needs SEN pupils referred to in paragraph [1.5](#) will be profiled equally in your advance from the LA across the period April to August 2013. New High Needs SEN pupils qualifying for funding during April to August will be added to your academy's profile as and when we receive confirmation from the SEN Team. Queries regarding the number of eligible pupils detailed in the list referred to in paragraph [1.5](#) should be emailed to highneedpupiltracking@kent.gov.uk or alternatively telephoned through to Susanne Baillie, Finance Information Officer (FIO) SEN on 01227 284533.

September 2013 and beyond

- 1.8 Place Plus will come into effect from September 2013. The High Needs SEN pupil eligibility criteria will remain the same as detailed in [1.2a](#) and [1.2b](#) above.
- 1.9 Extensive guidance on all aspects of Place Plus is detailed in Section 4 of Part 2 of the [School Budget Book](#).
- 1.10 Due to the change in the Place Plus methodology and the need for funding to follow the pupil in or close to the real time movement of the pupil, High Needs SEN budgets will not be issued in the traditional format. Academies and LA schools will need to use their local knowledge and input pupil data onto an interactive template to calculate their funding.
- 1.11 Templates have been provided for both Mainstream High Needs SEN pupils and Specialist Resource Provision pupils. To access these templates click on the respective tabs on the [High Needs SEN Funding calculator for Academies](#) on the School Budget pages of Kent Trust Web. Operational guidance can be found on the 'NOTES' tab.

Academies that convert post 1st April 2013

- 1.12 Academies that convert on or after the 1st April 2013 will move across to the Place Plus funding methodology from the 1st April 2013. If you are one of these academies then paragraphs [1.9](#) and [1.10](#) apply.
- 1.13 All LA Maintained Schools prior to 1st April 2013 have access to a budget template that includes the templates referred to in paragraph [1.11](#). Academies that convert on or after 1st April can use these templates to calculate their High Needs SEN funding. To access this resource click on the Place Plus or Place Plus Resource Provision tabs on the [Mainstream Templates](#).

Section 2 - Pupil Growth Funding and Rising Roll Funding

- 2.1 From September 2013 LAs are responsible for Pupil Growth Funding for both LA Maintained Schools and Academies. LAs have to provide a policy on pupil growth funding that needs to be ratified by the Schools Funding Forum (SFF) and the Department for Education (DfE). The SFF ratified the policy for Kent pupils at its meeting on the 12 October and this was subsequently agreed by the DfE.
- 2.2 In summary, Area Education Officers (AEOs) will need to agree an increase to a LA Maintained School or Academy's PAN in order for growth funding to be allocated. The increase must be part of the overall strategy for accommodating pupil growth in the district the school/academy is located in. All eligible Academies that have met these criteria have been notified via email of their funding allocations for 2013-14.
- 2.3 Academies will also be entitled to Rising Roll funding where they meet the criteria applied to LA Maintained Schools.
- 2.4 Guidance on Pupil Growth and Rising Roll funding can be found in Sections 6 and 8 of Part 2 of the [School Budget Book](#).

Section 3 - Early Years Funding

- 3.1 To access your Academy 3 and 4 year old free entitlement funding rate click on the Early Years Funding calculator for Academies on the School Budget pages of Kent Trust Web.
- 3.2 Early Years Guidance on how the funding rates have been calculated is provided in the Section 5 of Part 2 of the [School Budget Book](#) and also how payment will be profiled over the financial year April 2013 to March 2014.

Contact details

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High Needs SEN Funding, Pupil Growth and Rising Roll queries

Chris Scott: 01622 694966 christopher.scott@kent.gov.uk
High Needs SEN Funding, Pupil Growth and Rising Roll queries and template queries

Ashley Martin: 01622 694549 ashley.martin@kent.gov.uk
Early Years Funding queries

Leah Ford: 01622 221482 leah.ford@kent.gov.uk
High Needs SEN pupil data queries

Appendix 1 – 2012-13 High Needs Guidance

Extract from the School Budget Book for 2012-13

High level needs SEN funding - Individually Assigned Resources (IAR)

- 4.1 From April 2011 pupils that were previously funded as Very Severe and Complex Needs pupils (VSCN) and Unit pupils are all classified as IAR (High Needs SEN pupils) and are now funded using one single method.
- 4.2 Under these arrangements pupils previously classified as Unit pupils will now be classified as pupils in a Specialist Main Stream Provision (SMP), eligibility/placement is determined by the County Panel for SEN (the school will be named on the pupils statement). For other High Needs SEN pupils not in SMP eligibility will be determined firstly by a pupil having a statement and secondly by meeting the criteria determined by a panel of head teachers. Paragraphs ([4.6](#) to [4.9](#)) provide details of the process a school needs to follow in order to access this funding.
- 4.3 The rate of funding for a pupil qualifying for IAR is based on the funding rate used in a Special School for a pupil with the equivalent need. The funding rates in 2012-13 for High Needs SEN pupils in mainstream schools have deviated slightly from the Special School funding rates due to the uplift in funding of the Kent Pay Scheme award (1%). The uplift of 1% for administration staff in Primary and Secondary schools has been included in the AWPU, where as the comparable up lift in funding for a Special School is included in the individual need type funding rate.
- 4.4 There are four IAR (High Needs SEN funding rates);
- Speech Language and Specific Communication Needs (SLCN) and Specific Learning Difficulties (SPLD)
 - Severe Learning Difficulties (SLD)
 - Autistic Spectrum Disorder (ASD)
 - Physical Disabled (PD), Hearing Impairment (HI) and Visual Impairment (VI)

IAR funding rates for 2012-13 are detailed in paragraph [4.13](#).

- 4.5 In the recognition that there is an economy of scale in the resources needed to support pupils with the same need type, funding has been tailored to reflect this. IAR pupils will be funded at the full rate for the first three pupils with the same need type. A reduced funding rate per pupil will be applied for pupils on roll from 4 to 20 and a further reduction will be applied to the pupil funding rate where there are more than 21 pupils with same need type.
- 4.6 In order to trigger funding for a non- SMP pupil, a pupil must firstly have a statement. If the pupil has a statement, an application for contingency funding i.e. IAR can be submitted. Where eligibility exists, applications will be considered by a panel of headteachers who apply a set of consistent county wide criteria.

For further details on the criteria and application process; please contact one of the following SEN Finance and Information Officers (FIO's):

Stephanie Rapley - West Kent,
Telephone: 01732 525028, e-mail: stephanie.rapley@kent.gov.uk

Susanne Baillie – East/ Mid Kent
Telephone 01227 284533, e-mail: susanne.baillie@kent.gov.uk

- 4.7 If a pupil meets the IAR criteria as determined in paragraph [4.6](#) above, the funding will be triggered automatically by the relevant Finance and Information Officer (FIO) who will contact the Schools Budget Team and a payment will be made through the school's monthly advance. The FIO will also determine the date of entitlement and whether the funding should be back dated.
- 4.8 Funding will be allocated to reflect the primary need as identified in the Statement of SEN and funding will be allocated on a single need type as detailed in paragraph [4.4](#).
- 4.9 For pupils already in receipt of IAR funding on the day of the January pupil count, funding will automatically be generated until the end of the academic year (August). The table below details the continuation of IAR funding depending on the phase of education the pupil is attending.

Table: Continuation of VSCN funding

Year Group on day of PLASC return	All Through	Infant	Junior	Secondary
Year R	FY	FY		
Year 1	FY	FY		
Year 2	FY	PY		
Year 3	FY		FY	
Year 4	FY		FY	
Year 5	FY		FY	
Year 6	PY		PY	
Year 7				FY
Year 8				FY
Year 9				FY
Year 10				FY
Year 11				PY
Year 12				PY
Year 13				PY

FY = Full Year i.e. 12 months PY = Part Year i.e. 5 months

4.10 Pupils who transfer from an infant to a junior school do not need to re-apply for funding, it will continue subject to confirmation of the move from the October census.

4.11 Schools who receive pupils transferring from: Primary to Primary, Secondary to Secondary and Primary to Secondary school, need to inform the appropriate FIO who will advise if there is a need to re-apply for the funding. The FIO will let the Schools Budget Team know when agreement has been made to release funding. No IAR funding will be clawed back from a school's initial budget allocation due to the pupil leaving or moving to another school.

4.12 For those pupils that are in year groups 11 to 13 an initial allocation of 5/12^{ths} will be included in a schools budget. As pupils in those year groups will not necessarily continue education into the new academic year (starting in September) a pupil verification process will take place during September and October. Where a pupil continues education at the same school, a payment will be generated for the period September to March and included in the schools November monthly advance.

4.13 IAR funding rates for 2012-13

		Rate for first 3 pupils	Rate for 4-20 pupils	Rate for over 20 pupils
SLCN, SPLD and BESD	Speech Language and Communication (SLCN) Specific Learning Difficulties (SPLD) Behaviour Emotional Social Dysfunction(BESD)	£10,653	£8,653	£6,653
SLD	Severe Learning Difficulties(SLD)	£12,603	£10,603	£8,603
ASD	Autistic Spectrum Disorder (ASD)	£14,551	£14,051	£12,551
PD, HI and VI	Physical Disability (PD) Hearing Impairment (HI) Visually Impairment (VI)	£19,029	£16,029	£15,029