

Item 3 – High Needs

a) Overview of Numbers and Cost

Schools' Funding Forum

30 June 2017

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High Needs Numbers - By Institution

(Averages for the year)

	2013-14	2014-15	2015-16	2016-17	2017-18
	Actual	Actual	Actual	Actual	Latest forecast
Special Schools	3,272	3,349	3,572	3,649	3,723
Resource Provision	804	810	874	884	879
Mainstream Schools	802	860	1,475	2,222	* 2,530
Independent - pre 16	458	491	521	562	613
Independent - post 16	87	71	64	61	67
Independent	545	562	585	623	680
OLA Maintained	95	103	87	107	109
FE Colleges	467	570	636	800	800
SPI and CCP				55	130
TOTALS	5,985	6,254	7,229	8,340	8,851
Year on year increase		269	975	1,111	511
Year on year increase (%)		4.5%	15.6%	15.4%	6.1%

* Based on a £3m overspend (£26m total forecast cost)

Cost of High Needs (£'000) - By Institution Type

	2013-14 £'000 Actual	2014-15 £'000 Actual	2015-16 £'000 Actual	2016-17 £'000 Actual	2017-18 £'000 Forecast
Special Schools	67,048	68,543	68,118	70,460	71,748
Resource Provision	13,118	14,919	15,274	16,009	15,114
Mainstream Schools	8,755	8,899	14,398	23,797	26,000
Independent - pre 16	17,581	19,840	22,588	24,384	25,860
Independent - post 16	6,000	5,359	4,281	4,561	5,388
Independent	23,581	25,199	26,869	28,945	31,247
OLA Maintained	2,295	2,531	2,661	3,160	3,719
FE Colleges	4,229	4,980	6,867	8,081	8,710
SPI and CCP				366	900
TOTALS	119,026	125,071	134,186	150,817	157,438
Increase from previous year		6,045	9,115	16,631	6,621
% increase from previous year		5.1%	7.3%	12.4%	4.4%

Drill down into impact of High Needs Funding in Mainstream

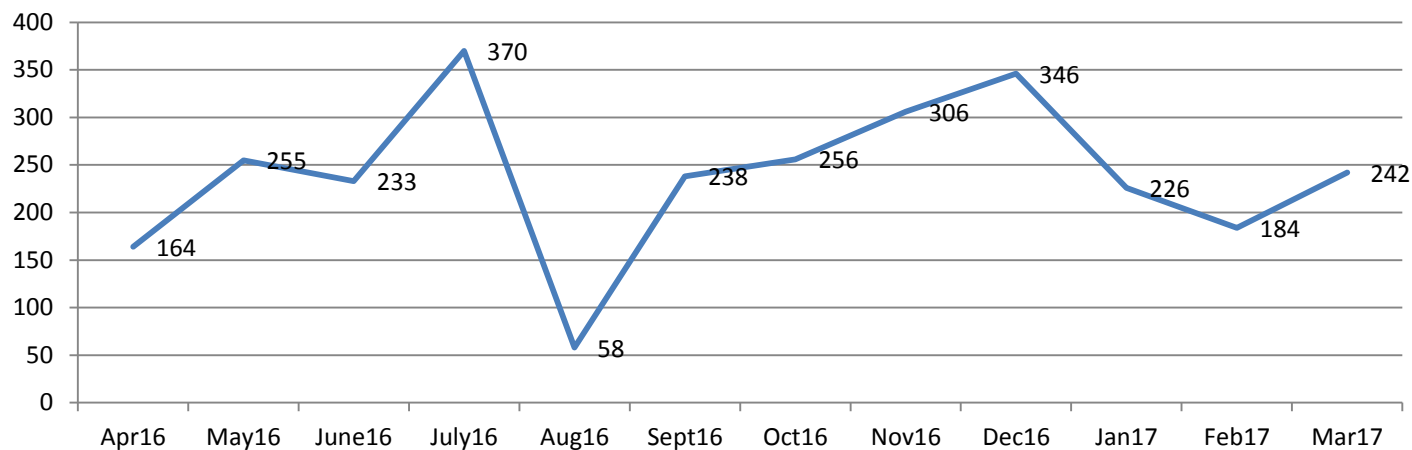
Mainstream HNF Pupil Numbers	Overspend (note)
2,272	£0
2,530	£3.0m
2,750	£4.7m
3,000	£7.9m

Note: The overspend assumes all other High Needs budget forecasts are as per the forecasts shown on the earlier slide

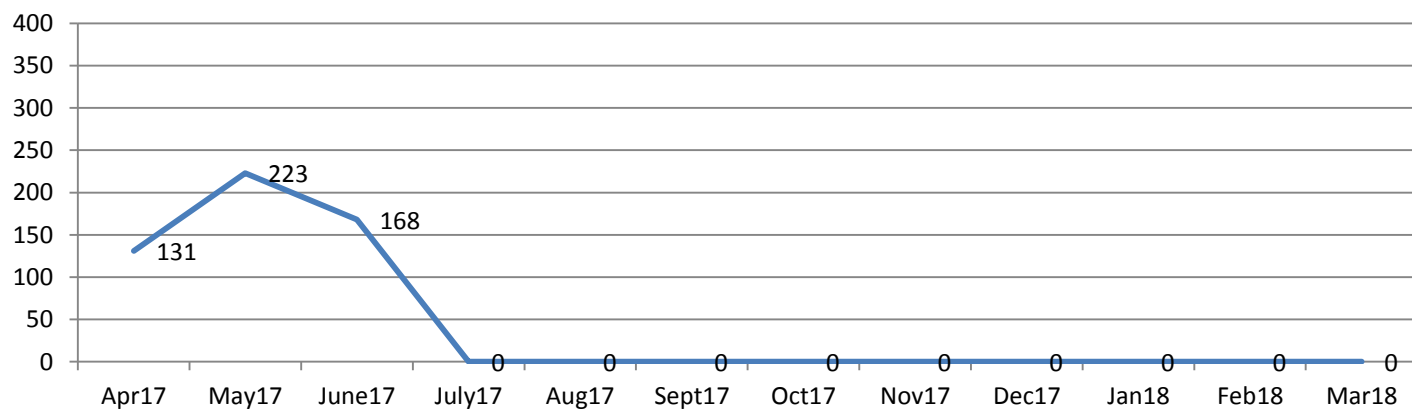
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b) Detailed update on Mainstream High Needs – Service

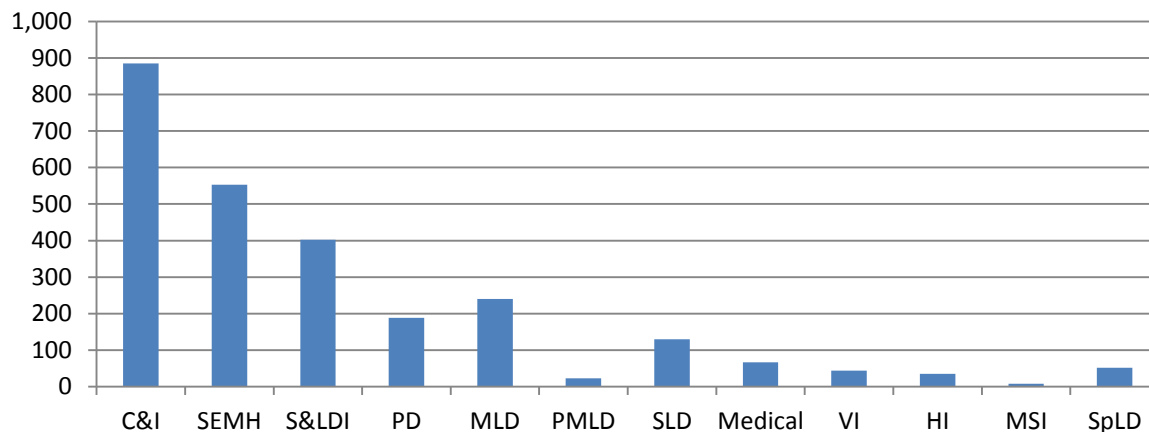
Claims processed per month April 2016 – March 2017



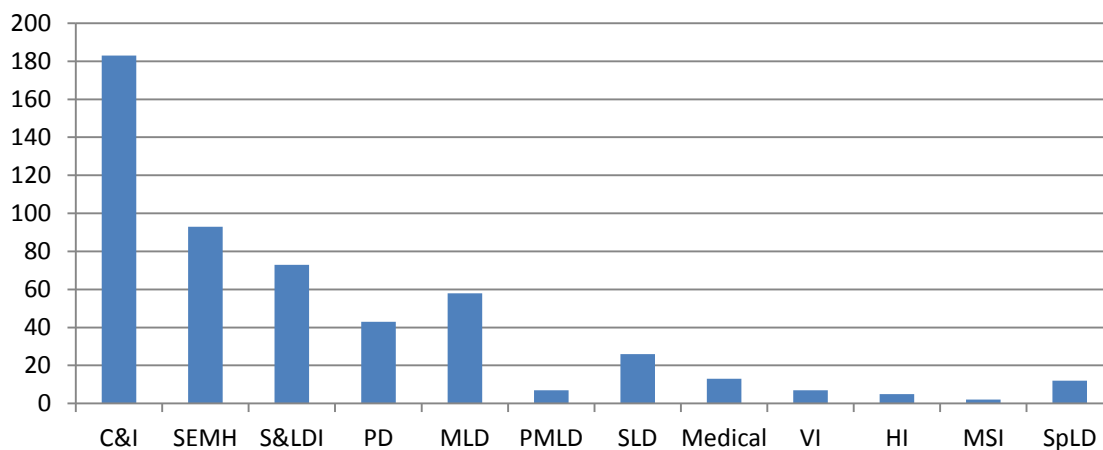
Claims processed per month April 2017 - Mar 2018 (1st quartile data only)



Number of accepted claims by need type April 2016 – March 2017



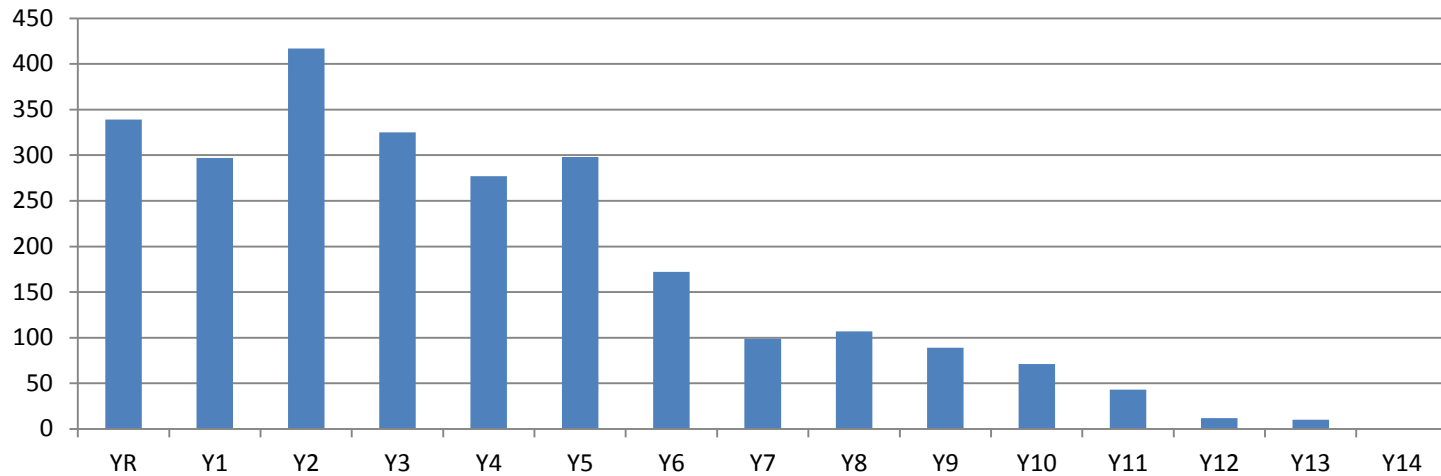
Number of accepted claims by need type April 2017 – June 2017



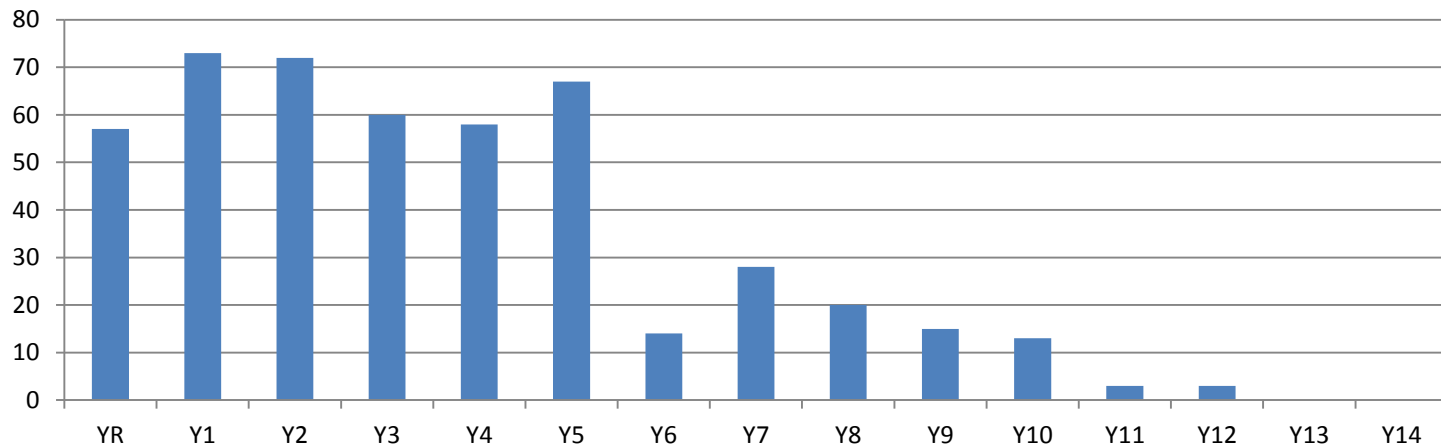
Need Type Key:

C&I (ASD)	Communication & Interaction including Autistic Spectrum Disorder
HI	Hearing Impairment
MLD	Moderate Learning Difficulty
MSI	Multi-sensory Impairment
OTH	Other Difficulty/ Disability
PD	Physical Disability
PMLD	Profound & Multiple Learning Difficulty
SLD	Severe Learning Difficulty
SEMH	Social, Emotional & Mental Health
SPLD	Specific Learning Difficulty
SLCN	Speech, Language & Communication Needs
VI	Visual Impairment

Accepted claims by year group April 2016 - March 2017



Accepted claims by year group April 2017 - June 2017



HNF with and without EHCP April 2016 - March 2017



HNF with and without EHCP April 2017 - June 2017



Measuring the Impact

Pupil progress

- Reapplication – reviewed and evaluated provision plans required. Expectation that with progress the funding level will reduce for many of the children and young people.
- Due to 60% of the HNF being for CYP without an EHCP we don't have the additional evidence of progress to Outcomes that are collated at the Annual Review.
- As part of the HNF review work we are working with schools to establish the most effective practice.

Parent satisfaction

- Overall confidence 68% (66% national average): DfE March 2017
<https://www.gov.uk/government/publications/education-health-and-care-plans-parents-and-young-people-survey>.
- Kent Parent Carer Survey June 2017

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b) Detailed update on Mainstream High Needs - Financial

Background

- At the SFF meeting on the 9 December
- We forecast a 2016-17 financial year spend of £20.877m
- This was based on the October High Need Pupil count of 1,916
- This was an overspend £2.834m against a budget of £18.034m

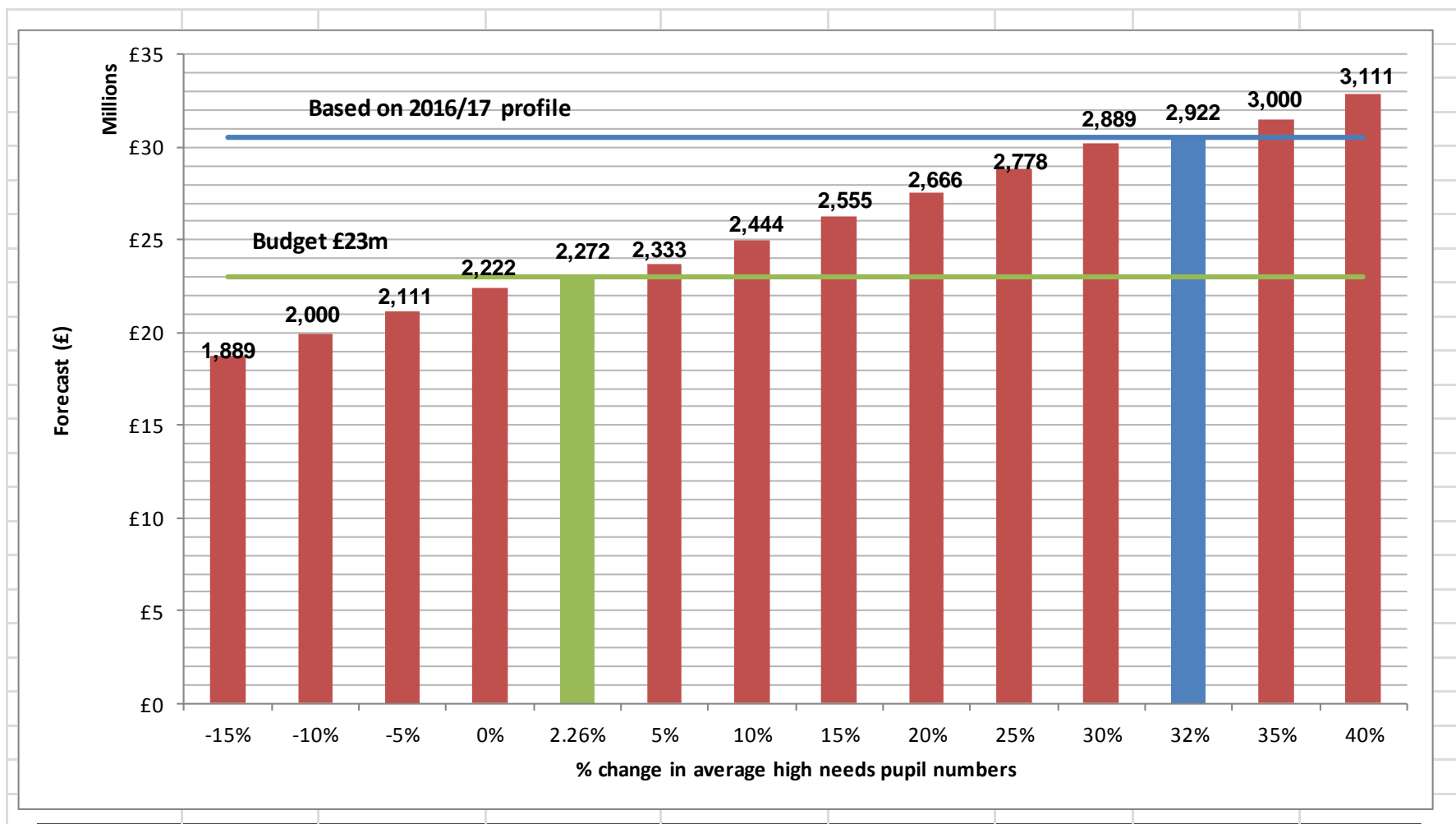
Movement in High Need Pupils numbers between 1 November 2016 and 31 March 2017

<u>High Need Pupil numbers 2016-17</u>															
												Actual			
												Forecast			
		Apr-16	May-16	Jun-16	Jul-16	Aug-16	Sep-16	Oct-16	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Total (£)	
A	Numbers as at report to SFF 8 July 2016	1,645	1,714	1,714	1,714	1,714	1,714	1,714	1,714	1,714	1,714	1,714	1,714	£17.255m	
B	Numbers as at report to SFF 9 Dec 2016	1,743	1,913	2,046	2,171	2,171	1,866	1,916	1,916	1,916	1,696	1,789	1,935	£20.877m	
C	Numbers as at report to SFF 30 June 2017	1,744	1,914	2,048	2,174	2,189	2,131	2,229	2,374	2,494	2,402	2,461	2,503	£23.797m	
D	Movement No. of pupils recorded 9 Dec and actual	1	1	2	3	18	265	313							
E	Movement No. of pupil forecast 9 Dec and actual								458	578	706	672	568		

High Need Pupil (HNP) numbers as at June 2017

HNP numbers as June submission		
Number of HNPs as at March		2,503
Ceased funding in March - 43 will not be reapplying -327 may reapply		(370)
New applications April		5
New applications May		87
Reapplications in June advance - 71 relate to March - 16 relate to December - 2 relate to August		89
Total HNP claimed June		2,314
Possible reapplications from claims ceased in March (327-71 = 256)		256
Total		2,570

Illustration of Mainstream High Needs Pupils and Overall Cost



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c) Review of High Needs

Rationale for Review

- The forecast outturn for HN funding indicates a significantly greater demand than the original budget and the reasons for this need to be explored
- Variations in uses and access to HNF across the county
- Need to prepare for the new national formula for funding the high needs block by 2019 -20
- National consultation on the funding has recently taken place

Overarching Aims of the Review

- The high needs top up budget needs to be more predictable and contained
- The budget must continue to fund the top up required by schools to support the pupils with the most complex needs
- The budget must also be used well in tandem with other resources such as LIFT and outreach funding to get the best outcomes for pupils and achieve the best value for money

Scope of the Review

- Current distribution and use of High Needs funding
- Clarification of 'normally available resource'
- The relationship between HNF, Statutory Assessment and EHCPs
- Effectiveness and impact of provision provided through HN Funding
- Effectiveness of present criteria and decision making process

Review Process

- Schools with similar characteristics (Size, IDACI, Prior Attainment) but with contrasting numbers of High Needs funding applications will be compared using data and visits to schools
- Analysis and comparison by school, district and area data to identify any patterns or trends
- Identification of the provision that has been most effective and impacts well on pupils' progress
- Moderation and quality assurance of the decision making processes

High Needs Funding - Primary School examples

Small schools with low levels of Notional SEN

	Pupil Numbers	High Needs Numbers	Percentage
School A	109	8	7.3%
School B	102	2	2.0%
School C	141	0	0.0%

Small schools with high levels of Notional SEN

	Pupil Numbers	High Needs Numbers	Percentage
School A	148	9	6.1%
School B	119	3	2.5%
School C	198	1	0.5%

High Needs Funding - Primary School examples

Large schools with low levels of Notional SEN

	Pupil Numbers	High Needs Numbers	Percentage
School A	459	25	5.4%
School B	454	11	2.4%
School C	482	3	0.6%

Large schools with high levels of Notional SEN

	Pupil Numbers	High Needs Numbers	Percentage
School A	422	27	6.4%
School B	405	7	1.7%
School C	415	2	0.5%

Example – comparing similar type schools.

High number of HNPs

School A has a score of 4. It has between 200 to 250 pupils and receives between £300 to £350 notional SEN funding per pupil. The number of HNPs as a % of the school population is 8%. This is 4 times the average for a school of this type.

Average number of HNPs

School B has a score of 1. It has between 200 and 250 pupils and receives between £300 -£350 notional SEN funding per pupil. The number HNPs as a % of the school population is 2%. This is the average for a school of this type

Low number of HNPs

School C has a score 0.5. It has between 200 and 250 pupils and receives between £300 -£350 notional SEN funding per pupil. The number HNPs as a % of the school population is 0.5%. This is below the average for a school of this type.

Review Timeline

Date	Activity
May 2017	Identification of schools for visits.
June & July 2017	Visits are undertaken
June 2017	Schools' Funding Forum updated on progress of review
July – September 2017	Feedback and data collated. Report compiled and recommendations made
October 2017	Key themes emerging from visits shared
November 2017	Report containing proposals for changing the process – paper to Forum
April 2018	New High Needs process implemented

Changes from 1 September 2017?

Should we consider introducing some changes to the process from 1 September 2017 to try and reduce the pressure on the High Needs budget?

September changes would only give us 7 months of saving

To save £3m we would need to reduce all E3 top up payments by 20%

Reduction would apply to all applications - existing and new